

3960 Department of Toxic Substances Control

FUND CONDITION STATEMENTS

| | 2011-12* | 2012-13* | 2013-14* |
|--|----------|----------|----------|
| 0014 Hazardous Waste Control Account ^s | | | |
| BEGINNING BALANCE | \$20,241 | \$26,637 | \$25,940 |
| Prior year adjustments | 3,382 | - | - |
| Adjusted Beginning Balance | \$23,623 | \$26,637 | \$25,940 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125400 Environmental and Hazardous Waste Fees | 33,232 | 33,108 | 32,544 |
| 125600 Other Regulatory Fees | 6,602 | 6,570 | 7,025 |
| 150300 Income From Surplus Money Investments | - | 1 | 1 |
| 150500 Interest Income From Interfund Loans | - | - | 138 |
| 161000 Escheat of Unclaimed Checks & Warrants | 29 | 33 | 33 |
| 161400 Miscellaneous Revenue | 120 | 72 | 72 |
| 161900 Other Revenue - Cost Recoveries | 8,701 | 8,385 | 11,885 |
| 162100 Delinquent Receivables-Cost Recoveries | 8 | - | - |
| Transfers and Other Adjustments: | | | |
| FO0458 From Site Operation and Maintenance Account, Hazardous Substances Account per Item 3960-012-0458, Budget Acts | - | 5 | 5 |
| Total Revenues, Transfers, and Other Adjustments | \$48,692 | \$48,174 | \$51,703 |
| Total Resources | \$72,315 | \$74,811 | \$77,643 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0555 Secretary for Environmental Protection (State Operations) | 304 | 312 | 326 |
| 0840 State Controller (State Operations) | 61 | 73 | - |
| 3960 Department of Toxic Substances Control (State Operations) | 45,313 | 48,221 | 50,998 |
| 8880 Financial Information System for California (State Operations) | - | 265 | 227 |
| Total Expenditures and Expenditure Adjustments | \$45,678 | \$48,871 | \$51,551 |
| FUND BALANCE | \$26,637 | \$25,940 | \$26,092 |
| Reserve for economic uncertainties | 26,637 | 25,940 | 26,092 |
| 0018 Site Remediation Account ^s | | | |
| BEGINNING BALANCE | \$17,346 | \$16,141 | \$121 |
| Prior year adjustments | 41 | - | - |
| Adjusted Beginning Balance | \$17,387 | \$16,141 | \$121 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | - | 1 | 1 |
| 150500 Interest Income From Interfund Loans | - | - | 11 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund Loan repayment per Item 3960-011-0018, Budget Act of 2010, as added by Ch 13/11 | - | - | 1,000 |
| FO0557 From Toxic Substances Control Account per Item 3960-012-0557, Budget Acts | 9,123 | 10,734 | 9,724 |
| Total Revenues, Transfers, and Other Adjustments | \$9,123 | \$10,735 | \$10,736 |
| Total Resources | \$26,510 | \$26,876 | \$10,857 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 10,369 | 26,734 | 10,748 |
| 8880 Financial Information System for California (State Operations) | - | 21 | 49 |
| Total Expenditures and Expenditure Adjustments | \$10,369 | \$26,755 | \$10,797 |
| FUND BALANCE | \$16,141 | \$121 | \$60 |

* Dollars in thousands, except in Salary Range.

3960 Department of Toxic Substances Control

| | 2011-12* | 2012-13* | 2013-14* |
|---|----------------|----------------|----------------|
| Reserve for economic uncertainties | 16,141 | 121 | 60 |
| 0058 Rail Accident Prevention & Response Fund ^s | | | |
| BEGINNING BALANCE | - | \$13 | \$13 |
| Prior year adjustments | <u>\$13</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | <u>\$13</u> | <u>\$13</u> | <u>\$13</u> |
| FUND BALANCE | \$13 | \$13 | \$13 |
| Reserve for economic uncertainties | 13 | 13 | 13 |
| 0059 Hazardous Spill Prevention Account, Rail Accident Prevention and Response | | | |
| Fund ^s | | | |
| BEGINNING BALANCE | - | \$4 | \$4 |
| Prior year adjustments | <u>\$4</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | <u>\$4</u> | <u>\$4</u> | <u>\$4</u> |
| FUND BALANCE | \$4 | \$4 | \$4 |
| Reserve for economic uncertainties | 4 | 4 | 4 |
| 0065 Illegal Drug Lab Cleanup Account ^s | | | |
| BEGINNING BALANCE | \$4,661 | \$3,778 | \$2,892 |
| Prior year adjustments | <u>-1</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$4,660 | \$3,778 | \$2,892 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | - | 1 | 1 |
| 150500 Interest Income From Interfund Loans | - | - | 11 |
| 161900 Other Revenue - Cost Recoveries | <u>16</u> | <u>5</u> | <u>5</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$16</u> | <u>\$6</u> | <u>\$17</u> |
| Total Resources | \$4,676 | \$3,784 | \$2,909 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 898 | 887 | 879 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>5</u> | <u>4</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$898</u> | <u>\$892</u> | <u>\$883</u> |
| FUND BALANCE | \$3,778 | \$2,892 | \$2,026 |
| Reserve for economic uncertainties | 3,778 | 2,892 | 2,026 |
| 0294 Removal and Remedial Action Account ^s | | | |
| BEGINNING BALANCE | \$6,375 | \$6,213 | \$6,705 |
| Prior year adjustments | <u>-2</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$6,373 | \$6,213 | \$6,705 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 310 | 400 | 400 |
| 161900 Other Revenue - Cost Recoveries | 1,728 | 4,300 | 4,300 |
| Transfers and Other Adjustments: | | | |
| TO0557 To Toxic Substances Control Account per Item 3960-011-0294, Budget Acts | <u>-843</u> | <u>-840</u> | <u>-840</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,195</u> | <u>\$3,860</u> | <u>\$3,860</u> |
| Total Resources | \$7,568 | \$10,073 | \$10,565 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 1,355 | 3,350 | 3,338 |

* Dollars in thousands, except in Salary Range.

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| | 2011-12* | 2012-13* | 2013-14* |
|---|----------------|----------------|----------------|
| 8880 Financial Information System for California (State Operations) | - | 18 | 15 |
| Total Expenditures and Expenditure Adjustments | <u>\$1,355</u> | <u>\$3,368</u> | <u>\$3,353</u> |
| FUND BALANCE | \$6,213 | \$6,705 | \$7,212 |
| Reserve for economic uncertainties | 6,213 | 6,705 | 7,212 |
| 0456 Expedited Site Remediation Trust Fund ^s | | | |
| BEGINNING BALANCE | \$2,972 | \$2,278 | \$2,839 |
| Prior year adjustments | <u>-2</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,970 | \$2,278 | \$2,839 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | - | 1 | - |
| Transfers and Other Adjustments: | | | |
| FO0557 From Toxic Substances Control Account per Item 3960-013-0557, Budget Act of 2012 | - | 560 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>-</u> | <u>\$561</u> | <u>-</u> |
| Total Resources | \$2,970 | \$2,839 | \$2,839 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 692 | - | 2,824 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>-</u> | <u>13</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$692</u> | <u>-</u> | <u>\$2,837</u> |
| FUND BALANCE | \$2,278 | \$2,839 | \$2 |
| Reserve for economic uncertainties | 2,278 | 2,839 | 2 |
| 0458 Site Operation and Maintenance Account, Hazardous Substances Account ^s | | | |
| BEGINNING BALANCE | \$714 | \$608 | \$391 |
| Prior year adjustments | <u>-2</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$712 | \$608 | \$391 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | - | 1 | 1 |
| 161400 Miscellaneous Revenue | 142 | 223 | 250 |
| Transfers and Other Adjustments: | | | |
| TO0014 To Hazardous Waste Control Account per Item 3960-012-0458, Budget Acts | - | -5 | -5 |
| TO0557 To Toxic Substances Control Account per Item 3960-011-0458, Budget Acts | <u>-23</u> | <u>-20</u> | <u>-20</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$119</u> | <u>\$199</u> | <u>\$226</u> |
| Total Resources | \$831 | \$807 | \$617 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 223 | 413 | 410 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>3</u> | <u>2</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$223</u> | <u>\$416</u> | <u>\$412</u> |
| FUND BALANCE | \$608 | \$391 | \$205 |
| Reserve for economic uncertainties | 608 | 391 | 205 |
| 0557 Toxic Substances Control Account ^s | | | |
| BEGINNING BALANCE | \$35,011 | \$30,585 | \$26,772 |
| Prior year adjustments | <u>2,140</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$37,151 | \$30,585 | \$26,772 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

3960 Department of Toxic Substances Control

| | 2011-12* | 2012-13* | 2013-14* |
|--|-----------------|-----------------|-----------------|
| 125400 Environmental and Hazardous Waste Fees | 41,651 | 41,795 | 41,909 |
| 125600 Other Regulatory Fees | 3 | - | - |
| 150300 Income From Surplus Money Investments | - | 1 | 1 |
| 161000 Escheat of Unclaimed Checks & Warrants | 23 | 11 | 11 |
| 161400 Miscellaneous Revenue | 643 | 304 | 304 |
| 161900 Other Revenue - Cost Recoveries | 8,407 | 9,283 | 9,486 |
| 162100 Delinquent Receivables-Cost Recoveries | 26 | - | - |
| 164300 Penalty Assessments | 1,892 | 2,573 | 2,573 |
| Transfers and Other Adjustments: | | | |
| FO0294 From Removal and Remedial Action Account per Item 3960-011-0294, Budget Acts | 843 | 840 | 840 |
| FO0458 From Site Operation and Maintenance Account, Hazardous Substances Account per Item 3960-011-0458, Budget Acts | 23 | 20 | 20 |
| FO1003 From Cleanup Loans and Environmental Assistance to Neighborhoods Account per Item 3960-011-1003, Budget Acts | 30 | 40 | 40 |
| TO0018 To Site Remediation Account per Item 3960-012-0557, Budget Acts | -9,123 | -10,734 | -9,724 |
| TO0456 To Expedited Site Remediation Trust Fund per Item 3960-013-0557, Budget Act of 2012 | - | -560 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$44,418</u> | <u>\$43,573</u> | <u>\$45,460</u> |
| Total Resources | <u>\$81,569</u> | <u>\$74,158</u> | <u>\$72,232</u> |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 73 | 88 | - |
| 3960 Department of Toxic Substances Control (State Operations) | 49,090 | 46,453 | 42,909 |
| 3980 Office of Environmental Health Hazard Assessment (State Operations) | 705 | 295 | 300 |
| 4265 Department of Public Health (State Operations) | 1,116 | 239 | 253 |
| 8880 Financial Information System for California (State Operations) | - | 311 | 219 |
| Total Expenditures and Expenditure Adjustments | <u>\$50,984</u> | <u>\$47,386</u> | <u>\$43,681</u> |
| FUND BALANCE | <u>\$30,585</u> | <u>\$26,772</u> | <u>\$28,551</u> |
| Reserve for economic uncertainties | 30,585 | 26,772 | 28,551 |
| 0572 Stringfellow Insurance Proceeds Account ^N | | | |
| BEGINNING BALANCE | \$951 | \$770 | \$770 |
| Prior year adjustments | -184 | - | - |
| Adjusted Beginning Balance | <u>\$767</u> | <u>\$770</u> | <u>\$770</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | <u>3</u> | <u>-</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3</u> | <u>-</u> | <u>-</u> |
| Total Resources | <u>\$770</u> | <u>\$770</u> | <u>\$770</u> |
| FUND BALANCE | <u>\$770</u> | <u>\$770</u> | <u>\$770</u> |
| 1003 Cleanup Loans and Environmental Assistance to Neighborhoods Account ^S | | | |
| BEGINNING BALANCE | \$3,383 | \$3,527 | \$3,487 |
| Prior year adjustments | -2 | - | - |
| Adjusted Beginning Balance | <u>\$3,381</u> | <u>\$3,527</u> | <u>\$3,487</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150400 Interest Income From Loans | 1 | - | - |
| 150500 Interest Income From Interfund Loans | - | - | 5 |
| Transfers and Other Adjustments: | | | |

* Dollars in thousands, except in Salary Range.

3960 Department of Toxic Substances Control

| | 2011-12* | 2012-13* | 2013-14* |
|--|----------------|----------------|----------------|
| TO0557 To Toxic Substances Control Account per Item 3960-011-1003, Budget Acts | -30 | -40 | -40 |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$29</u> | <u>-\$40</u> | <u>-\$35</u> |
| Total Resources | \$3,352 | \$3,487 | \$3,452 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3960 Department of Toxic Substances Control (Local Assistance) | <u>-175</u> | <u>-</u> | <u>1,000</u> |
| Total Expenditures and Expenditure Adjustments | <u>-\$175</u> | <u>-</u> | <u>\$1,000</u> |
| FUND BALANCE | \$3,527 | \$3,487 | \$2,452 |
| Reserve for economic uncertainties | 3,527 | 3,487 | 2,452 |
| 3035 Environmental Quality Assessment Fund ^s | | | |
| BEGINNING BALANCE | \$336 | \$206 | \$154 |
| Prior year adjustments | <u>5</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$341 | \$206 | \$154 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 5 | - | - |
| 125800 Renewal Fees | 72 | - | - |
| 150300 Income From Surplus Money Investments | <u>1</u> | <u>-</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$78</u> | <u>-</u> | <u>-</u> |
| Total Resources | \$419 | \$206 | \$154 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 1 | - | - |
| 3960 Department of Toxic Substances Control (State Operations) | 212 | 50 | 6 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>2</u> | <u>1</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$213</u> | <u>\$52</u> | <u>\$7</u> |
| FUND BALANCE | \$206 | \$154 | \$147 |
| Reserve for economic uncertainties | 206 | 154 | 147 |
| 3084 State Certified Unified Program Account ^s | | | |
| BEGINNING BALANCE | \$2,346 | \$2,821 | \$2,180 |
| Prior year adjustments | <u>210</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,556 | \$2,821 | \$2,180 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 1,301 | 1,456 | 1,443 |
| 150300 Income From Surplus Money Investments | 11 | 10 | 10 |
| 161400 Miscellaneous Revenue | 81 | 60 | 60 |
| 161900 Other Revenue - Cost Recoveries | - | 10 | 10 |
| 164300 Penalty Assessments | <u>58</u> | <u>50</u> | <u>50</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,451</u> | <u>\$1,586</u> | <u>\$1,573</u> |
| Total Resources | \$4,007 | \$4,407 | \$3,753 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 3 | 4 | - |
| 3960 Department of Toxic Substances Control (State Operations) | 1,178 | 2,211 | 2,246 |
| 8880 Financial Information System for California (State Operations) | <u>5</u> | <u>12</u> | <u>10</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,186</u> | <u>\$2,227</u> | <u>\$2,256</u> |
| FUND BALANCE | \$2,821 | \$2,180 | \$1,497 |

* Dollars in thousands, except in Salary Range.

3960 Department of Toxic Substances Control

| | 2011-12* | 2012-13* | 2013-14* |
|------------------------------------|----------|----------|----------|
| Reserve for economic uncertainties | 2,821 | 2,180 | 1,497 |

* Dollars in thousands, except in Salary Range.